May 2020

Policy based on information from the Information and Records Management Society.



Data Retention Policy

# Data Retention Policy

**1. Purpose, Scope, and Users**

This policy sets the required retention periods for specified categories of personal data. This Policy applies to all school staff members and governors who may collect, process, or have access to data (including personal data and/or sensitive personal data).  It is the responsibility of all of the above to familiarise themselves with this Policy and ensure adequate compliance with it.

This policy applies to all information used at the School. Examples of documents include:

* Pupil assessment data
* Pupil personal information
* Parent/ Carer personal information
* Staff personal information
* Governor personal information
* Child Protection information
* Video and photographs
* Emails

**2. REFERENCE TO THE LAW**

EU GDPR 2016/679 (Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC)

**3. RETENTION RULES**

The retention schedule below summarises the more detailed schedule held electronically by the DPO.

The Data Protection Officer defines the time period for which the documents and electronic records should to be retained through the Data Retention Schedule.

As an exemption, retention periods within Data Retention Schedule can be prolonged in cases such as:

* Ongoing investigations from Member States authorities, if there is a chance records of personal data are needed by the School to prove compliance with any legal requirements; or
* When exercising legal rights in cases of lawsuits, tribunals or similar court proceeding

The owner of this document is the Data Protection Officer who must check and, if necessary, update the document at least once a year.

The DPO is Carol Connelly

Deputy in school Laura Gould

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| **1. Child Protection** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 1.1 | Child Protection files | Yes | Education Act 2002, s175, related guidance “Safeguarding Children in Education” ,September 2004 | DOB + 25 years ¹ | SECURE DISPOSAL |
| 1.2 | Allegation of a child protection nature against a member of staff, including where the allegationis unfounded | Yes | Employment Practices Code: Supplementary Guidance 2.13.1 (Records of Disciplinary and Grievance)Education Act 2002 guidance “Dealing with Allegations of Abuse against Teachers and Other Staff” November 2005 | Until the person’s normal retirement age, or 10 years from the date of the allegation whichever is the longer | SECURE DISPOSAL |

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| **2.** | **Governors** |  |  |  |  |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 2.1 | Minutes |  |  |  |  |
|  | * Principal set (signed)
 | No |  | Permanent | Retain in school for 6 years from date of meeting |
|  | * Inspection copies
 | No |  | Date of meeting + 3 years | SECURE DISPOSAL[If these minutes contain any sensitive personal information they should be shredded] |
| 2.2 | Agendas | No |  | Date of meeting | SECURE DISPOSAL |
| 2.3 | Reports | No |  | Date of report+6 years | Retain in school for 6 years from date of meeting |
| 2.4 | Annual Parents’ meeting papers | No |  | Date of report+6 years | Retain in school for 6 years from date of meeting |
| 2.5 | Instruments of Government | No |  | Permanent | Retain in school whilst school is open |
| 2.6 | Trusts and Endowments | No |  | Permanent | Retain in school whilst operationally required |
| 2.7 | Action Plans | No |  | Date of action plan + 3 years | SECURE DISPOSAL |
| 2.8 | Policy documents | No |  | Expiry of policy | Retain in school whilst policy is operational (this includes if the expired policy is part of a past decision making process) |

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| **2. Governors** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 2.9 | Complaints files | Yes |  | Date of resolution of complaint + 6 years | Retain in school for the first six years Review for further retention in the case of contentious disputesSECURE DISPOSAL routine omplaints |
| 2.10 | Annual Reports required by the Department for Education | No | Education (Governors’Annual Reports) (England)(Amendment) Regulations 2002.SI 2002 No 1171 | Dateofreport+10years |  |
| 2.11 | Proposals for schools to become, or be established as Specialist Status schools | No |  |  | Current year + 3 years |

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| **3. Management** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 3.1 | Log Books | Yes |  | Date of last entry in the book + 6 years | Retain in the school for 6 years from the date of the last entry |
| 3.2 | Minutes of the Senior Management Team and other internal administrative bodies | Yes |  | Date of meeting + 5 years | Retain in the school for 5 years from meeting |
| 3.3 | Reports made by the head teacher or the management team | Yes |  | Date of report + 3 years | Retain in the school for 3 years from meeting |
| 3.4 | Records created by head teachers, deputy head teachers, heads of year and other members of staff with administrative responsibilities | Yes |  | Closure of file + 6 years | SECURE DISPOSAL |
| 3.5 | Correspondence created by head teachers, deputy head teachers, heads of year and other members of staff with administrative responsibilities | No |  | Date of correspondence + 3 years | SECURE DISPOSAL |
| 3.6 | Professional development plans | Yes |  | Closure + 6 years | SECURE DISPOSAL |
| 3.7 | School development plans | Yes |  | Closure + 6 years | Review |
| 3.8 | Admissions - if the admission is successful | Yes |  | Admission + 1 year | SECURE DISPOSAL |
| 3.9 | Admissions - if the appeal is unsuccessful | Yes |  | Resolution of case + 1 year | SECURE DISPOSAL |

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| **3. Management** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 3.10 | Admissions - Secondary Schools - Casual | Yes |  | Current year + 1 year | SECURE DISPOSAL |
| 3.11 | Proofs of address supplied by parents as part of the admissions process | Yes |  | Current year + 1 year | SECURE DISPOSAL |
| 3.12 | Supplementary Information form including additional information such as religion, medical conditions etc. |  |  |  |  |

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| **4. Pupils** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 4.1 | Admission Registers | Yes |  | Date of last entry in the book (or file)+ 6 yearsReconsider Retention Period. Feedback from Teaching Relative was thought to be 7Year Retention. These records are no longer generated in paper but electronically held using SIMS BROCON software. | Retain in the school for 6 years from the date of the last entry then consider transfer to the Archives |
| 4.2 | Attendance registers | Yes |  | Date of register + 3 years | SECURE DISPOSAL[If these records are retained electronically any back up copies should be destroyed at the same time] |

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| **4.** | **Pupils** |  |  |  |  |
|  | **Basic file description** | **Data** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative** |
| **Prot** | **life of the record** |
| **Issues** |  |
| 4.3a | * Primary
 |  |  | Retain for the time which the pupil | Transfer to the secondary school (or other |
| remains at the primary school | primary school) when the child leaves the |
|  | school. In the case of exclusion it may be |
|  | appropriate to transfer the record to the |
|  | Pupil Referral Unit |
| 4.3b | * Secondary
 |  | Limitation Act 1980 | DOB of the pupil + 25 years ³ | SECURE DISPOSAL |
| 4.4 | Pupil files | Yes |  |  |  |
| 4.4a | * Primary
 |  |  | Retain for the time which the pupil | Transfer to the secondary school (or other |
| remains at the primary school | primary school) when the child leaves the |
|  | school. In the case of exclusion it may be |
|  | appropriate to transfer the record to the |
|  | Pupil Referral Unit |
| 4.4b | * Secondary
 |  | Limitation Act 1980 | DOB of the pupil + 25 years **4** | SECURE DISPOSAL |
| 4.5 | Special Educational Needs files, | Yes |  | DOB of the pupil + 25 years the review | SECURE DISPOSAL |
| reviews and Individual Education | NOTE:This retention period is the |
| Plans | minimum period that any pupil file |
|  | should be kept. Some authorities choose |
|  | to keep SEN files for a longer period of |
|  | time to defend themselves in a “failure to |
|  | provide a sufficient education ”case. There |
|  | is an element of business risk analysis |
|  | involved in any decision to keep the |
|  | Records longer than the minimum |
|  | retention period. |

³ If these records are retained on the pupil file or in their National Record of Achievement they need only be kept for as long as operationally necessary.

**4** As above



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| **4.** | **Pupils** |  |  |  |  |
|  | **Basic file description** | **Data** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative** |
| **Prot** | **life of the record** |
| **Issues** |  |
| 4.6 | Correspondence Relating to | No |  | Date of absence + 2 years | SECURE DISPOSAL |
| Authorised Absence and Issues |
| 4.7 | Examination results | Yes |  |  |  |
| 4.7a | * Public
 | No |  | Year of examinations + 6 years | SECURE DISPOSAL |
| 4.7b | * Internal examination results
 | Yes |  | Current year + 5 years **5** | SECURE DISPOSAL |
| 4.8 | Any other records created in the | Yes/No |  | Current year + 3 years | Review at the end of 3 years and either |
| courseof contact with pupils | allocate a further retention period or |
|  | SECURE DISPOSAL |
| 4.9 | Statement maintained under The Education Act 1996 - Section 324 | Yes | Special Educational Needsand Disability Act 2001 Section 1 | DOB + 30 years | SECURE DISPOSAL unless legal action is pending |
| 4.10 | Proposed statement or amended statement | Yes | Special Educational Needsand Disability Act 2001 Section 1 | DOB + 30 years | SECURE DISPOSAL unless legal action is pending |
| 4.11 | Advice and information to parents regarding educational | Yes | Special Educational Needsand Disability Act 2001 Section 2 | Closure + 12 years | SECURE DISPOSAL unless legal action is pending |
| needs |
| 4.12 | Accessibility Strategy | Yes | Special Educational Needsand Disability Act 2001 Section 14 | Closure + 12 years | SECURE DISPOSAL unless legal action is pending |
| 4.13 | Parental permission slips for | Yes |  | Conclusion of the trip | SECURE DISPOSAL |
| school trips - where there has |
| been no major incident |

**5** If these records are retained on the pupil file or in their National Record of Achievement they need only be kept for as long as operationally necessary.

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| **4. Pupils** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 4.14 | Parental permission slips for school trips - where there has been a major incident | Yes | Limitation Act 1980 | DOB of the pupil involved in the incident+ 25 yearsThe permission slips for all pupils on the trip need to be retained to show that the rules had been followed for all pupils | SECURE DISPOSAL |
| 4.15 | Recordscreatedbyschools to obtain approval to run an Educational Visit outside the Classroom - Primary Schools | No | 3 part supplement to the Health & Safety of Pupils on Educational Visits (HASPEV) (1998). | Date of visit + 14 years **6** | N |
| 4.16 | Records created by schools to obtain approval to run an Educational Visit outside the Classroom - Secondary Schools | No | 3 part supplement to the Health & Safety of Pupils on Educational Visits (HASPEV) (1998). | Date of visit + 10 years | N |
| 4.17 | Walking Bus registers | Yes |  | Date of register + 3 yearsThis takes into account the fact that if there is an incident requiring an accident report the register will be submitted with the accident report and kept for the period of time required for accident reporting | SECURE DISPOSAL[If these records are retained electronically any back up copies should be destroyed at the same time] |

**6** This retention period has been set in agreement with the Safeguarding Children’s Officer.



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| **5.** | **Curriculum** |  |  |  |  |
|  | **Basic file description** | **Data** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative** |
| **Prot** | **life of the record** |
| **Issues** |  |
| 5.1 | School Development Plan | No |  | Current year + 6 years | SECURE DISPOSAL |
| 5.2 | Curriculum returns | No |  | Current year + 3 years | SECURE DISPOSAL |
| 5.3 | Schemes ofwork | No |  | Current year + 1 year | It may be appropriate to review these |
| records at the end of each year and |
| allocate a new retention period or |
| SECURE DISPOSAL |
| 5.4 | Timetable | No |  | Current year + 1 year | It may be appropriate to review these |
| records at the end of each year and |
| allocate a new retention period or |
| SECURE DISPOSAL |
| 5.5 | Class record books | No |  | Current year + 1 year | It may be appropriate to review these |
| records at the end of each year and |
| allocate a new retention period or |
| SECURE DISPOSAL |
| 5.6 | Mark Books | No |  | Current year + 1 year | It may be appropriate to review these |
| records at the end of each year and |
| allocate a new retention period or |
| SECURE DISPOSAL |
| 5.7 | Record of homework set | No |  | Current year + 1 year | It may be appropriate to review these |
| records at the end of each year and |
| allocate a new retention period or |
| SECURE DISPOSAL |
| 5.8 | Pupils’work | No |  | Current year + 1 year | It may be appropriate to review these |
| records at the end of each year and |
| allocate a new retention period or |
| SECURE DISPOSAL |

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| **5. Curriculum** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 5.9 | Examination results | Yes |  | Current year + 6 years | SECURE DISPOSAL |
| 5.10 | SATS records - Examination Papers and Results | Yes |  | Current year + 6 years | SECURE DISPOSAL |
| 5.11 | PANreports | Yes |  | Current year + 6 years | SECURE DISPOSAL |
| 5.12 | Value Added & Contextual Data | Yes |  | Current year + 6 years | SECURE DISPOSAL |
| 5.13 | Self Evaluation forms | Yes |  | Current year + 6 years | SECURE DISPOSAL |

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| **6. Personnel Records held in Schools** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 6.1 | Timesheets, sick pay | Yes | Financial Regulations | Current year + 6 years | SECURE DISPOSAL |
| 6.2 | Staff Personal files | Yes |  | Termination + 7 years | SECURE DISPOSAL |
| 6.3 | Interview notes and recruitment records | Yes |  | Dateofinterview + 6 months | SECURE DISPOSAL |
| 6.4 | Pre-employment vetting information (including CRB checks) | No | CRB guidelines | Date of check + 6 months | SECURE DISPOSAL[by the designated member of staff] |
| 6.5 | Disciplinary proceedings: | Yes | Where the warning relates to child protection issues see 1.2. If the disciplinary proceedings relate to a child protection matter please contact your safeguarding children officer for further advice. |  |  |

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| **6.** | **Personnel Records held in Schools** |  |  |  |  |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 6.5a | * oral warning
 |  |  | Date of warning + 6 months | SECURE DISPOSAL **7** |
| 6.5b | * written warning - level one
 |  |  | Date of warning + 6 months | SECURE DISPOSAL |
| 6.5c | * written warning - level two
 |  |  | Date of warning + 12 months | SECURE DISPOSAL |
| 6.5d | * final warning
 |  |  | Date of warning + 18 months | SECURE DISPOSAL |
| 6.5e | * case not found
 |  |  | If child protection related please see 1.2 otherwise SECURE DISPOSAL immediately at the conclusion of the case | SECURE DISPOSAL |
| 6.6 | Records relating to accident/injury at work | Yes |  | Date of incident + 12 yearsIn the case of serious accidents a further retention period will need to be applied | SECURE DISPOSAL |
| 6.7 | Annual appraisal/assessment records | No |  | Current year + 5 years | SECURE DISPOSAL |
| 6.8 | Salary cards | Yes |  | Last date of employment + 85 years | SECURE DISPOSAL |
| 6.9 | Maternity pay records | Yes | Statutory Maternity Pay (General) Regulations 1986 (SI 1986/1960), revised 1999 (SI 1999/567) | Current year +3yrs | SECURE DISPOSAL |
| 6.10 | Records held under Retirement Benefits Schemes (Information Powers) Regulations 1995 | Yes |  | Current year + 6 years | SECURE DISPOSAL |

**7** If this is placed on a personal file it must be weeded from the file.



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| **6. Personnel Records held in Schools** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 6.11 | Proofs of identity collected as part of the process of checking “portable” enhanced CRB disclosure | Yes |  | Where possible these should be checked and a note kept of what was seen and what has been checked. If it is felt necessary to keep copy documentation then this should be placed on the member of staff’s personal file. |  |

Comment: CRB Guidelines all falls under the heading of Data Recruitment Polices. Consideration needs to be applied to adding a separate category maybe.

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| **7. Health and Safety** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 7.1 | Accessibility Plans |  | Disability Discrimination Act | Current year + 6 years | SECURE DISPOSAL |
| 7.2 | Accident Reporting |  | Social Security (Claims and Payments) Regulations 1979 Regulation 25. Social Security Administration Act 1992 Section8. Limitation Act 1980 |  |  |
| 7.2a | * Adults
 | Yes |  | Date of incident + 7 years | SECURE DISPOSAL |
| 7.2b | * Children
 | Yes |  | DOB of child + 25 years **8** | SECURE DISPOSAL |
| 7.3 | COSHH |  |  | Current year + 10 years [where appropriate an additional retention period may be allocated] |  |
| 7.4 | Incident reports | Yes |  | Current year + 20 years | SECURE DISPOSAL |

**8** A child may make a claim for negligence for 7 years from their 18th birthday. To ensure that all records are kept until the pupil reaches the age of 25 this retention period has been applied.

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| **7. Health and Safety** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 7.5 | Policy Statements |  |  | Date of expiry + 1 year | SECURE DISPOSAL |
| 7.6 | Risk Assessments | Yes |  | Current year + 3 years | SECURE DISPOSAL |
| 7.7 | Process of monitoring of areas where employees and persons are likely to have become in contact with asbestos |  |  | Last action + 40 years | SECURE DISPOSAL |
| 7.8 | Process of monitoring of areas where employees and persons are likely to have come in contact with radiation |  |  | Last action + 50 years | SECURE DISPOSAL |
| 7.9 | Fire Precautions log books |  |  | Current year + 6 years | SECURE DISPOSAL |

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| **8. Administrative** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 8.1 | Employer's Liability certificate |  |  | Closure of the school + 40 years | SECURE DISPOSAL |
| 8.2 | Inventories of equipment & furniture |  |  | Current year + 6 years | SECURE DISPOSAL |
| 8.3 | General file series |  |  | Current year + 5 years | Review to see whether a further retention period is required |
| 8.4 | School brochure or prospectus |  |  | Current year + 3 years |  |
| 8.5 | Circulars (staff/parents/pupils) |  |  | Current year + 1 year | SECURE DISPOSAL |

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| **8. Administrative** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 8.6 | Newsletters, ephemera |  |  | Current year + 1 year | Review to see whether a further retention period is required |
| 8.7 | Visitors book |  |  | Current year + 2 years | Review to see whether a further retention period is required |
| 8.8 | PTA/Old Pupils Associations |  |  | Current year + 6 years | Review to see whether a further retention period is required |

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| **9. Finance** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 9.1 | Annual Accounts |  | Financial Regulations | Current year + 6 years |  |
| 9.2 | Loans and grants |  | Financial Regulations | Date of last payment on loan + 12 years | Review to see whether a further retention period is required |
| 9.3 | Contracts |  |  |  |  |
| 9.3a | * under seal
 |  |  | Contract completion date + 12 years | SECURE DISPOSAL |
| 9.3b | * under signature
 |  |  | Contract completion date + 6 years | SECURE DISPOSAL |
| 9.3c | * monitoring records
 |  |  | Current year + 2 years | SECURE DISPOSAL |
| 9.4 | Copy orders |  |  | Current year + 2 years | SECURE DISPOSAL |
| 9.5 | Budget reports, budget monitoring etc. |  |  | Current year + 3 years | SECURE DISPOSAL |



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| **9.** | **Finance** |  |  |  |  |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 9.6 | Invoice, receipts and other records covered by the Financial Regulations |  | Financial Regulations | Current year + 6 years | SECURE DISPOSAL |
| 9.7 | Annual Budget and background papers |  |  | Current year + 6 years | SECURE DISPOSAL |
| 9.8 | Order books and requisitions |  |  | Current year + 6 years | SECURE DISPOSAL |
| 9.9 | Delivery Documentation |  |  | Current year + 6 years | SECURE DISPOSAL |
| 9.10 | Debtors ’Records |  | Limitation Act 1980 | Current year + 6 years | SECURE DISPOSAL |
| 9.11 | School Fund - Cheque books |  |  | Current year + 3 years | SECURE DISPOSAL |
| 9.12 | School Fund - Paying in books |  |  | Current year + 6 years then review | SECURE DISPOSAL |
| 9.13 | School Fund - Ledger |  |  | Current year + 6 years then review | SECURE DISPOSAL |
| 9.14 | School Fund- Invoices |  |  | Current year + 6 years then review | SECURE DISPOSAL |
| 9.15 | School Fund - Receipts |  |  | Current year + 6 years | SECURE DISPOSAL |
| 9.16 | School Fund - Bank statements |  |  | Current year + 6 years then review | SECURE DISPOSAL |
| 9.17 | School Fund - School Journey books |  |  | Current year + 6 years then review | SECURE DISPOSAL |
| 9.18 | Student grant applications |  |  | Current year + 3 years | SECURE DISPOSAL |
| 9.19 | Free school meals registers | Yes |  | Current year + 6 years | SECURE DISPOSAL |
| 9.20 | Petty cash books |  |  | Current year + 6 years | SECURE DISPOSAL |

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| **10. Property** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 10.1 | Title Deeds |  |  | Permanent | Permanent, these should follow the property unless the property has been registered at the Land Registry |
| 10.2 | Plans |  |  | Permanent | Retain in school whilst operational |
| 10.3 | Maintenance and contractors |  | Financial Regulations | Current year + 6 years | SECURE DISPOSAL |
| 10.4 | Leases |  |  | Expiry of lease + 6 years | SECURE DISPOSAL |
| 10.5 | Lettings |  |  | Current year + 3 years | SECURE DISPOSAL |
| 10.6 | Burglary, theft and vandalism report forms |  |  | Current year + 6 years | SECURE DISPOSAL |
| 10.7 | Maintenance log books |  |  | Current year + 6 years | SECURE DISPOSAL |
| 10.8 | Contractors’Reports |  |  | Current year + 6 years | SECURE DISPOSAL |

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| **11. LocalAuthority** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 11.1 | Secondary transfer sheets (Primary) | Yes |  | Current year + 2 years | SECURE DISPOSAL |
| 11.2 | Attendance returns | Yes |  | Current year + 1 year | SECURE DISPOSAL |
| 11.3 | Circulars from LEA |  |  | Whilst required operationally | Review to see whether a further retention period is required |

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| **12. Department for Children, Schools and Families** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 12.1 | HMI reports |  |  | These do not need to be kept any longer |  |
| 12.2 | OFSTED reports and papers |  |  | Replace former report with any new inspection report | Review to see whether a further retention period is required |
| 12.3 | Returns |  |  | Current year + 6 years | SECURE DISPOSAL |
| 12.4 | Circulars from Department for Children,Schools and Families |  |  | Whilst operationally required | Review to see whether a further retention period is required |

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| **13. Connexions** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 13.1 | Service level agreements |  |  | Until superseded | SECURE DISPOSAL |
| 13.2 | Work Experience agreement |  |  | DOB of child + 18 years | SECURE DISPOSAL |

Are KPI’s required? Consideration required as to whether this new item should be included.

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| **14. Schools Meals** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 14.1 | Dinner Register |  |  | Current year + 3 years | SECURE DISPOSAL |
| 14.2 | School Meals Summary Sheets |  |  | Current year + 3 years | SECURE DISPOSAL |
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| **15. Family Liaison Officers and Home School Liaison Assistants** |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** | **Action at the end of the administrative life of the record** |
| 15.1 | Day Books | Yes |  | Current year + 2 years then review | SECURE DISPOSAL |
| 15.2 | Reports for outside agencies - where the report has been included on the case file created by the outside agency | Yes |  | Whilst the child isattending the school then destroy | SECURE DISPOSAL |
| 15.3 | Referral forms | Yes |  | While the referral is current | SECURE DISPOSAL |
| 15.4 | Contact datasheets | Yes |  | Current year then review, if contact is no longer active then destroy | SECURE DISPOSAL |
| 15.5 | Contact database entries | Yes |  | Current year then review, if contact is no longer active then destroy | DELETE |
| 15.6 | Group Registers | Yes |  | Current year + 2 years | SECURE DISPOSAL |

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| **16.** | **Early Years Provision** | **16.4** | **Other Records-Administration** |  |
|  | **Basic file description** | **Data Prot Issues** | **Statutory Provisions** | **Retention Period [operational]** |
|  | **Insurance** |  |  |  |
| 16.4.2 | Insurance policies - Employers Liability | No | Employers Liability Financial Regulations | The policies are kept for a minimum of 6 years and a maximum of 40 years depending on the type of policy |
| 16.4.3 | Claims made against insurance policies - damage to property | Yes |  | Caseconcluded+3years |
| 16.4.4 | Claims made against insurance policies - personal injury | Yes |  | Caseconcluded+6years |
|  | **Human Resources** |  |  |  |
| 16.4.5 | Personal Files - records relating to an individual’s employment history | Yes ³ |  | Termination + 6 years then review |
| 16.4.6 | Pre-employment vetting information (including CRB checks) | No | CRB guidelines | Date of check + 6 months |
| 16.4.7 | Staff training records - general | Yes |  | Current year + 2 years |
| 16.4.8 | Training (proof of completion such as certificates, awards, examresults) | Yes |  | Last action + 7 years |
|  | **Premises and Health and Safety** |  |  |  |
| 16.4.9 | Premises files (relating to maintenance) | No |  | Cessation of use of building + 7 years then review |
| 16.4.10 | Risk Assessments | No |  | Current year + 3 years |

³ For Data Protection purposes the following information should bekept on the file for the following periods :

* all documentation on the personal file Duration of employment
* pre-employment and vetting information Start date + 6 months
* records relating to accident or injury at work Minimum of 12 years
* annual appraisal/assessment records Minimum of 5 years
* records relating to disciplinary matters (kept on personal files)

° oral warning 6 months

° first level warning 6 months

° second level warning 12 months

° final warning 18 months